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PTO/SB/21 (09-04)

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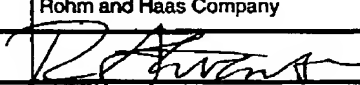
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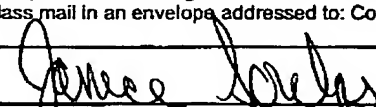
|  |                        |                    |
|--|------------------------|--------------------|
| <b>TRANSMITTAL<br/>FORM</b><br><br><small>(to be used for all correspondence after initial filing)</small> | Application Number     | 10/700,078         |
|  | Filing Date            | November 3, 2003   |
|  | First Named Inventor   | Bridgewater et al. |
|  | Art Unit               | 1714               |
|  | Examiner Name          | Vickey M. Ronesi   |
|  | Attorney Docket Number | A01463             |
| Total Number of Pages in This Submission   |                        |                    |

| <b>ENCLOSURES (Check all that apply)</b>   |  |   |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Supplemental IDS<br>Form 1449<br>Reference (1) |
| <div style="border: 1px solid black; height: 40px; margin-top: 5px;"></div>  |  |   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|              |   |          |        |
|--------------|---|----------|--------|
| Firm Name    | Rohm and Haas Company   |          |        |
| Signature    |  |          |        |
| Printed name | Robert W. Stevenson   |          |        |
| Date         | July 5, 2006  | Reg. No. | 31,064 |

**CERTIFICATE OF TRANSMISSION/MAILING**

|   |   |             |
|---|---|-------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: |   |             |
| Signature   |  |             |
| Typed or printed name   | Janice Soulas   | Date 7.5.06 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

JUL 05 2006

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Atty Docket No.: A01463

|                                  |   |                            |
|----------------------------------|---|----------------------------|
| In re Application of:            | : |                            |
| Bridgewater et al.               | : |                            |
|                                  | : |                            |
| Serial No.: 10/700,078           | : | Group Art Unit: 1714       |
|                                  | : |                            |
| Filed: November 3, 2003          | : | Examiner: Vickey M. Ronesi |
|                                  | : |                            |
| For: Aqueous Coating Composition | : |                            |

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with applicant(s)' duty of disclosure under 37 C.F.R. § 1.56(a) and pursuant to 37 C.F.R. § 1.97 - 1.98, Applicant(s) submit(s) herewith copies of the documents listed on the enclosed Form, PTO-1449 "List of Art Cited by Applicant." Inclusion of a document in this Supplemental Information Disclosure Statement is not intended to constitute an admission that any document so disclosed is "prior art" with respect to the present invention unless specifically so stated herein.

The filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information, as defined in 37 C.F.R. § 1.56(a) exists.

As per the OG, August 05, 2003, waiver of Rule 37 CFR 1.98 (a) (2) (i), Applicant (s) have not submitted any copies of U.S. Patents and Published Applications since this application was filed after June 30, 2003.

The Examiner may find the enclosed documents relevant to the invention of the present application.

CERTIFICATION

Check one of the following two paragraphs

- ☒ [X] The additional references cited herewith were cited in a communication from a non-US patent office regarding a non-US counterpart application not more than 3 months prior to the filing of this statement, or
- ☐ [] The additional references were not cited in such a communication and were not known to any individual having a duty of disclosure more than 3 months prior to the filing of this statement.

Respectfully submitted,



Robert W. Stevenson  
Attorney for Applicant(s)  
Registration No.: 31,064  
Telephone No. (215) 592-3000

Date: July 5, 2006  
Rohm and Haas Company  
100 Independence Mall West  
Philadelphia, PA 19106-2399

Enclosures

**LIST OF ART CITED BY APPLICANT**

(Use several sheets if necessary)

|  |                                 |
|--|---------------------------------|
| Atty Docket No.<br><b>A01463</b>       | Serial No.<br><b>10/700,078</b> |
| Applicant<br><b>Bridgewater et al.</b> |                                 |
| Filing Date<br><b>11/3/03</b>          | Group<br><b>1714</b>            |

**U.S. Patent Documents**

| EXAMINER<br>INITIALS |    | DOCUMENT<br>NUMBER | DATE       | NAME                  | Class/Subclass | FILING DATE IF<br>APPROPRIATE |
|----------------------|----|--------------------|------------|-----------------------|----------------|-------------------------------|
|                      | AA | 3,919,154          | 11-11-1975 | Chang Yun-Feng et al. |                |                               |
|                      | AB |                    |            |                       |                |                               |
|                      | AC |                    |            |                       |                |                               |
|                      | AD |                    |            |                       |                |                               |
|                      | AE |                    |            |                       |                |                               |
|                      | AF |                    |            |                       |                |                               |
|                      | AG |                    |            |                       |                |                               |
|                      | AH |                    |            |                       |                |                               |
|                      | AI |                    |            |                       |                |                               |
|                      | AJ |                    |            |                       |                |                               |
|                      | AK |                    |            |                       |                |                               |

**FOREIGN PATENT DOCUMENTS**

|  |    | DOCUMENT<br>NUMBER | DATE      | COUNTRY | Class/Subclass | Translation<br>Yes/No |
|--|----|--------------------|-----------|---------|----------------|-----------------------|
|  | AL | JP 63 295792       | 12-2-1988 | JP      |                |                       |
|  | AM |                    |           |         |                |                       |
|  | AN |                    |           |         |                |                       |
|  | AO |                    |           |         |                |                       |
|  | AP |                    |           |         |                |                       |

**OTHER ART (Including Author, Title, Date, Pertinent Patents, etc.)**

|  |    |  |
|--|----|--|
|  | AR |  |
|  | AS |  |
|  | AT |  |

Examiner

Date Considered

\*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

Rohm and Haas Company Modified Form PTO 1449